

Rec'd PCT/PTO

#3  
30 SEP 2005

THE UNITED STATES PATENT AND TRADEMARK OFFICE  
under 35 USC 371 as DO/EO/US

IN RE APPLICATION OF: )  
Massey et al. )  
Serial No. 10/515,983 (PCT/GB03/02254) )  
Title: AN EXPOSURE DEVICE )  
Filed: November 24, 2004 )  
International Filing Date: May 22, 2003 )  
Priority Date: May 24, 2002 )

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COMMISSIONER FOR PATENTS  
Mail Stop PCT, ATTN: DO/EO/US  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER**  
**35 USC §371**

Dear Sirs:

Responsive to the Notification of Missing Requirements under 35 USC §371 in the United States Designated/Elected Office (DO/EO/US), having a mailing date of July 7, 2005, Applicant's Attorney is submitting the required documentation to comply with 35 USC §371.

Enclosed are the following documents:


1. Copy of Missing Requirements under 35 USC § 371 form;
2. Copy of Declaration;
3. Copy of Supplemental Application Data Sheet;
4. Fee Transmittal Form;
5. Extension of Time for 1 month;
6. Declaration surcharge of \$130.00;
7. Credit Card Payment Form; and,
8. Return Postcard.

10/06/2005 ATRAN1 00000118 10515983

01 FC:1617

130.00 OP

Respectfully submitted,



John F. Salazar, Reg. No. 39,353  
MIDDLETON REUTLINGER  
2500 Brown & Williamson Tower  
Louisville, KY 40202  
(502) 584-1135

Date: September 26, 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

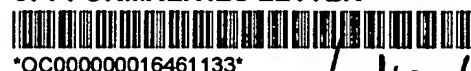
UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/515,983	Eian David Massey	RD 440

INTERNATIONAL APPLICATION NO.
PCT/GB03/02254
LA. FILING DATE . PRIORITY DATE
05/22/2003 05/24/2002

27868  
 JOHN F. SALAZAR  
 MIDDLETON & REUTLINGER  
 2500 BROWN & WILLIAMSON TOWER  
 LOUISVILLE, KY 40202

CONFIRMATION NO. 6315  
 371 FORMALITIES LETTER



\*OC000000016461133\*

*✓ dkt*

Date Mailed: 07/07/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 11/24/2004
- Copy of the International Search Report filed on 11/24/2004
- Preliminary Amendments filed on 11/24/2004
- U.S. Basic National Fees filed on 11/24/2004
- Priority Documents filed on 11/24/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$650** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$780** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$ 350

- \$200 for 2 independent claims over 3.
- \$450 for 21 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/515,983	PCT/GB03/02254	RD 440

FORM PCT/DO/EO/905 (371 Formalities Notice)

#3

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/515,983
	Filing Date	11/24/2004
	First Named Inventor	MASSEY
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	RD 440

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN POSTCARD
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MIDDLETON REUTLINGER		
Signature			
Printed name	JOHN F. SALAZAR		
Date	09/30/2005	Reg. No.	39,353

CERTIFICATE OF TRANSMISSION/MAILING			
EV100713548US		Facsimile transmitted to the USPTO or deposited with the United States Postal Service with addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
Signature			
Typed or printed name	LYNN MINTON	Date	9/30/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

REC'D PTO 3 SEP 2005 #3

PTO/SB/17 (12-04v2)  
Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

### Complete if Known

Application Number	10/515,983
Filing Date	11/24/04
First Named Inventor	MASSEY
Examiner Name	
Art Unit	
Attorney Docket No.	RD 440

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 780.00

### METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
41 - 20 or HP = 21	x	450.00
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
5 - 3 or HP = 2	x	200.00
HP = highest number of total claims paid for, if greater than 20.		
HP = highest number of independent claims paid for, if greater than 3.		

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): LATE FILING SURCHARGE FOR DECLARATION 130.00

SUBMITTED BY		Registration No.	Telephone
Signature		(Attorney/Agent) 39,353	502-625-2756
Name (Print/Type)	JOHN F. SALAZAR	Date	9/30/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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